

**THE PENNSYLVANIA STATE UNIVERSITY
BOARD OF TRUSTEES**

**COMMITTEE ON LEGAL AND COMPLIANCE
MINUTES OF MEETING
VOLUME LC 29**

February 20, 2020

A meeting of the Committee on Legal and Compliance (the Committee) of the Board of Trustees was held in Room 208, Penn Stater Hotel and Conference Center, University Park, PA, at 2:05 p.m. on Thursday, February 20, 2020.

The following members, constituting a quorum, were present: Rick Dandrea, David Kleppinger, Bill Oldsey (via telephone), Julie Anna Potts, Stan Rapp, and Rob Tribeck.

Also attending were Faculty Representative Ann Clements; and administration and staff attendees Eric Barron, Bob Boland, Steve Dunham, Kenya Mann Faulkner, David Gray, Frank Guadagnino, Nick Jones, and Jennifer Santiago.

David Kleppinger called the meeting to order at 2:05 p.m. The Committee voted unanimously to approve the Annual Applications, Renewals and other Filings Required by the Pennsylvania Liquor Control Board.

Chair Kleppinger introduced Kenya Mann Faulkner, Chief Ethics and Compliance Officer, who provided an overview of the Ethics and Compliance office and unit functions, the Ethics and Compliance Council, and the Ethics and Compliance Strategic Plan and Strategic Imperatives.

The public meeting was adjourned at 2:34 p.m. with the Committee going into Executive Session for a privileged session.

Respectfully submitted,

Shannon Harvey
Secretary of the Board of Trustees