

**THE PENNSYLVANIA STATE UNIVERSITY  
BOARD OF TRUSTEES**

**COMMITTEE ON LEGAL AND COMPLIANCE  
MINUTES OF MEETING  
VOLUME LC 32**

**November 12, 2020**

A meeting of the Committee on Legal and Compliance (the Committee) of the Board of Trustees was held via Zoom at 9:45 a.m. on Thursday, November 12, 2020.

The following members, constituting a quorum, were present: Chair David Kleppinger, Vice Chair Rob Tribeck, President Eric Barron (*Ex Officio*), Mark Dambly (*Ex Officio*), Alex Hartzler, Ira Lubert, Bill Oldsey, Julie Anna Potts, and Stan Rapp.

Also attending were Faculty Representative Lisa Mangel and Student Representative Julia Kelliher.

Chair Kleppinger called the meeting to order at 9:45 a.m.

Chair Kleppinger called for a motion for the Committee to approve the *Minutes* of the September 17, 2020 committee meeting. The motion to approve came from Trustee Oldsey, and Trustee Rapp then seconded the motion. The motion was unanimously approved.

Chair Kleppinger introduced Dr. Emme Devonish, University Ethics Officer, Office of Ethics and Compliance, for a preview of the goals and objectives of the Value and Culture Survey planned for Fall 2021. Devonish stated the Office is currently working with vendor ECI to develop a portal to access survey results and to use the data from the last survey (which ECI conducted in 2017/2018) to begin a benchmarking process. The survey timeline is as follows: Spring 2021, the Office will meet with leadership and heads of functional units regarding the internal process; Fall 2021 will be the roll out of the survey, and Spring 2022 will be the distribution of survey results. Devonish stated that the survey results will be used to evaluate Penn State values across the University, as well as aid in strategic planning for the Office of Ethics and Compliance and other offices across the University.

Chair Kleppinger introduced Sandy Weaver, Manager, Youth Program Compliance, for a status update of University Youth Protection Programs. Weaver stated that Youth Programming at Penn State is an extension of the vision of community service, recruitment, student engagement, readiness skills, University alignment STEAM and impact. She stated that an effective compliance program builds on prevention, standards, oversight, education and training, enforcement and discipline, response and prevention, and risk assessment. Weaver shared the various programs, policies, databases, hotlines, reporting structures, and tools that are in place for each component of the compliance program. She reported the Office of Ethics and Compliance is working with Kelly Wolgast and the COVID Task Force to ensure pandemic-related safety measures are in place as in-person programming slowly re-opens. Weaver reported that many programs are still able to operate virtually. Weaver responded to a question about risk and compliance for third parties operating programs, stating that they must go through Risk Management's contracting process, and must follow Penn State's policies included in the indemnification agreement. She concluded by citing examples of Youth Programming's future expansion, with pathways for youth to participate from elementary school age programs, to junior and senior high with dual enrollment courses, and hopefully enrolling in the University.

The public meeting was adjourned at 10:17 a.m. with the Committee going into Executive Session for a privileged session.

Respectfully submitted,

Susan Stevens  
Administrative Coordinator  
Office of the Board of Trustees