

**THE PENNSYLVANIA STATE UNIVERSITY
BOARD OF TRUSTEES**

**COMMITTEE ON LEGAL AND COMPLIANCE
MINUTES OF MEETING
VOLUME LC 18**

November 3, 2016

A meeting of the Committee on Legal and Compliance was held in Room 211, Penn Stater Conference Center Hotel, at 8:30 a.m.. on Thursday, November 3, 2016.

The following committee members, constituting a quorum, were present: Richard Dandrea, Kathy Casey, Bob Capretto, Ira Lubert, Keith Masser, Julie Anna Potts, and Rob Tribeck. Student Representative Kevin Horne, along with the following administrators and staff members were also present: Eric Barron, Regis Becker, Julie Del Giorno, Steve Dunham, David Gray, Frank Guadagnino, Gary Langsdale and Tom Poole.

Chair Dandrea called the meeting to order and minutes from the September 15, 2016 meeting were unanimously approved.

Julie Del Giorno provided the Committee with an update on the Big Ten Conference Standards for Safeguarding Institutional Governance of Intercollegiate Athletics and related Annual Report submission.

Steve Dunham provided a presentation on the top concerns faced by university general counsels, to include compliance, sexual misconduct, budgets and resources, diversity, governance, campus and student safety, cyber and data security, employment and labor law, business and higher education, evolving higher education models, student activism and free speech, lawyers serving in multiple roles and responsibilities for non-legal functions, and crisis communications and media relations.

Rege Becker led a discussion of the cost effectiveness of the University's compliance efforts, with a starting point being the commitment to fully discharge the University's compliance obligations and responsibilities. Rege provided some external and internal data, and noted the difficulty of measuring compliance costs due to the wide spread distribution of compliance functions throughout the University. Rege noted that the compliance staff appears to be lean but effective.

The public meeting of the Committee was adjourned at 9:35 a.m. Steve Dunham then provided an attorney-client privileged legal update in executive session. All Trustees in attendance who are not members of the Committee (Trustees Han, Schuyler, and Weinstein) were invited by the Chair to stay for the executive session.

Respectfully submitted,

Janine S. Andrews
Director, Office of the Board of Trustees