

**THE PENNSYLVANIA STATE UNIVERSITY
BOARD OF TRUSTEES**

**COMMITTEE ON LEGAL AND COMPLIANCE
MINUTES OF MEETING
VOLUME LC 21**

July 20, 2017

A meeting of the Committee on Legal and Compliance was held in Room 140, Olmsted Building, Penn State Harrisburg at 9:30 a.m. on Thursday, July 20, 2017.

The following committee members, constituting a quorum, were present: Richard Dandrea, Kathy Casey (via telephone), Robert Capretto, Ira Lubert, Keith Masser, and Julie Anna Potts. Student Representative Matt Krott, Faculty Representative Roger Egolf, and the following administrators and staff members were also present: Eric Barron, Regis Becker, Robert Boland, Steve Dunham, Frank Guadagnino, Gary Langsdale and Tom Poole.

Minutes from the May 4, 2017, meeting were approved.

The Committee had one action item for recommendation to the full Board, the Proposed Annual Applications, Renewals and Other Filings Required by the Pennsylvania Liquor Control Board. Frank Guadagnino provided information on Act 39, which is a supplemental licensure filing to allow uncorked bottles of wine, under specific parameters, for sale by the Nittany Lion Inn, which would be part of the filings included in the recommendation. By unanimous vote, the action was recommended for full Board consideration.

The Committee received a compliance update from Regis Becker, including information and updates on training and centrally-managed compliance courses. He noted increased participation and the ongoing efforts for improvement opportunities. Regis introduced Robert Boland, the University's new Athletics Integrity Officer, and Mr. Boland provided some background information as well as his vision moving forward.

Steve Dunham facilitated a generative discussion on policies, including the administrative structure for developing and maintaining policies, the legal and compliance implications of policies, and the role of trustees in development and oversight of policies. Within this discussion, Tom Poole, Vice President for Administration, provided context and framework of policy stewardship as well as protocols for policy formation and revisions; and, he also shared that there will be migration of policies into a more user-friendly website.

The public meeting of the Committee was adjourned at 10:29 a.m. Steve Dunham then provided an attorney-client privileged legal update in executive session. All trustees in attendance who are not members of the Committee (Trustees Doran, Han, Hoeschele, Hoffman, Kleppinger, Paterno, Schneider, and Steele) were invited by the Chair to stay for the executive session.

Respectfully submitted,

Janine S. Andrews
Director, Office of the Board of Trustees