

**THE PENNSYLVANIA STATE UNIVERSITY  
BOARD OF TRUSTEES**

**COMMITTEE ON LEGAL AND COMPLIANCE  
MINUTES OF MEETING  
VOLUME LC 24**

**JULY 19, 2018**

A meeting of the Committee on Legal and Compliance (the Committee) of the Board of Trustees was held at the Gaige Building, Penn State Berks, Reading, PA, at 2:00 p.m. on Thursday, July 19, 2018. The meeting was held pursuant to Notice which was emailed to each of the members.

The following members, constituting a quorum, were present: Rick Dandrea, Julie Anna Potts, Kathleen Casey, David Kleppinger, Ira Lubert, Keith Masser, Stan Rapp, Rob Tribeck and Mark Dambly.

Also attending was Student Representative, Stephanie Winkler, GPSA President; staff members Eric Barron, Nick Jones, Steve Dunham, David Gray, Frank Guadagnino, Bob Boland, and Gary Langsdale.

Rick Dandrea called the meeting to order, welcomed the public and took a moment to introduce new Trustee Laurie Stanell, and new Student Representative, Stephanie Winkler, Vice President of the Graduate and Professional Student Association

The Committee voted and unanimously approved the minutes of the May 3, 2018 meeting. The Committee voted and unanimously approved the Annual Applications, Renewals and other filings required by the PA Liquor Control Board.

Chair Dandrea introduced Gary Langsdale as Acting Chief Ethics and Compliance Officer and Gary provided some remarks to the Committee. Frank Guadagnino, Chair of the Search Committee for the Chief Ethics and Compliance Officer, reported that Isaacson Miller has been retained to assist with the search, and Frank reported that it is anticipated three final candidates will be brought in for final interviews.

Trustees David Kleppinger and Rob Tribeck continued the discussion of athletics oversight. The Committee considered changes to its Charter and Operating Guidelines to incorporate specific references to oversight of intercollegiate athletics issues and the reporting of such issues to the Board. The Committee also considered changes to the Guidelines for Legal and Risk Reporting to the Board of Trustees, a document created by and maintained by the Office of General Counsel. These proposed changes were sent to all committee members for their review, comments and edits prior to the meeting. A Motion was made to approve the amendments to the Charter and Operating Guidelines and the Guidelines for Legal and Risk Reporting to the Board of Trustees, each as drafted and amended. The motion was seconded and unanimously approved by the Committee.

The Committee also discussed an oversight matrix prepared by Frank Guadagnino to reflect committee oversight responsibilities, consistent with the AGB's guidelines. An inquiry was made about the State Gaming Commission's Sports Wagering. President Barron stated that indeed the issue was being addressed among universities and cognizant legislators, anticipating more discussion and information on this subject would be reported at a later date.

The public meeting was adjourned at 2:27 p.m. with the Committee going into Executive Session for a privileged legal update.

Respectfully submitted,

Carmella Mulroy-Degenhart  
Assistant Secretary of the Board of Trustees