

**THE PENNSYLVANIA STATE UNIVERSITY  
BOARD OF TRUSTEES**

**COMMITTEE ON LEGAL AND COMPLIANCE  
MINUTES OF MEETING  
VOLUME LC 9**

**March 19, 2015**

A meeting of the Committee on Legal and Compliance was held in Empire Room C, The Hershey Lodge, Hershey, Pennsylvania, at 4:00 p.m. on Thursday, March 19, 2015.

The following committee members, constituting a quorum, were present: Kathy Casey, Richard Dandrea, Kenneth Frazier (telephonically), Keith Masser, Russell Redding, and Paul Silvis. The following administrators and staff members were also present: Eric Barron, Regis Becker, Julie Del Giorno, Steve Dunham, David Gray, Frank Guadagnino, Gary Langsdale, and Tom Poole.

As Chairman Frazier was attending telephonically, Vice Chair Dandrea facilitated the meeting; the meeting was called to order by Vice Chair Dandrea and minutes of the January 15, 2015 meeting were approved.

The Committee considered Agenda Item 6.F.1 of the Board of Trustees agenda, proposing a public venue liquor license. David Gray indicated that this would be implemented on a pilot basis for certain concert opportunities. Discussion occurred regarding the difference in conversation based upon whether it was a University event or a non-University event. Kathy Casey motioned to recommend the resolution for full Board consideration, which was seconded by Paul Silvis and approved unanimously.

Regis Becker, Director of Ethics and Compliance, provided a briefing to the Committee that included an overview of the Athletics Compliance Office with a breakdown of the roles and responsibilities as well as data from a survey of staff size/composition from Big 10 peer institutions. Regis also provided statistics on rules interpretation, persons trained, and educational mailings distributed.

Julie Del Giorno, Athletics Integrity Officer, provided her briefing to the Committee that included information for 2013 and 2014 on incident reporting methods, incident type, the outcome/resolution of the incident reports, and resolutions by incident type. In addition, Julie provided information about ethics awareness/leadership training for students.

Steve Dunham provided introductions of three staff members from the Office of General Counsel housed in Hershey. Mark Faulkner spoke of the niche of healthcare and healthcare-related legal operation matters that arise in both the medical center environment as well as the College of Medicine, which has several hundred faculty positions. Mike Mohr discussed issues of risk mitigation given that the presence of patients and clinicians that creates significant risks and issues that are unique in a healthcare setting and not seen on other campuses. Nicole Lehman then provided a briefing on some additional unique legal issues in healthcare settings such as patient incapacity issues, guardianship considerations, abusive and disruptive patients, and withdrawal of treatment cases.

Steve Dunham noted that he would provide his legal update in closed session, protected by attorney-client privilege. The Committee entered into privileged executive session at 4:50 p.m., with Trustees Doran, Lord, Lubrano and Rakowich invited to stay for the closed session.

Respectfully submitted,

Janine S. Andrews  
Director  
Office of the Board of Trustees