

**THE PENNSYLVANIA STATE UNIVERSITY
BOARD OF TRUSTEES**

**COMMITTEE ON LEGAL AND COMPLIANCE
MINUTES OF MEETING
VOLUME LC 10**

May 7, 2015

A meeting of the Committee on Legal and Compliance was held in Room 218, The Penn Stater Conference Center Hotel, University Park, Pennsylvania, at 3:00 p.m. on Thursday, May 7, 2015.

The following committee members, constituting a quorum, were present: Kathy Casey, Richard Dandrea, Keith Masser, and Paul Silvis. The following administrators and staff members were also present: Eric Barron, Regis Becker, Julie Del Giorno, Steve Dunham, David Gray, Frank Guadagnino, Gary Langsdale, and Tom Poole.

Vice Chair Dandrea called the meeting to order and the minutes of the March 19, 2015 meeting were approved.

Regis Becker, Director of Ethics and Compliance, updated the Committee on the University's work in developing and promoting a formal set of *Penn State Values*. This effort involved a review of all existing University ethics and integrity codes, a survey of over 100,000 constituents, and town hall meetings with nearly 2,000 individuals. The final statement of the *Penn State Values* is expected to be finalized by the end of the fiscal year and provided to the Board for its information.

Julie Del Giorno, Athletics Integrity Officer, provided her briefing to the Committee that included data and information related to training conducted year-to-date for student athletes, employees and individuals subject to the Intercollegiate Athletic Department Code of Conduct. Julie also provided information related to the annual Head Coach and Athletic Director certification of compliance.

Steve Dunham provided introductions of two staff members from the Office of General Counsel, Katherine Allen and Allison Newhart; and, Debra Thurley, Director of Research Compliance in the Office for Research Protections. Katherine Allen spoke of the unique matters that arise in the area of academic issues and faculty matters. Allison Newhart discussed some of the types of discussions she assists with in the area of human resources and students. And, Debra Thurley spoke of the role she serves in ensuring the administration of sponsored programs is done with the highest standards of protection and compliance.

Steve Dunham noted that he would provide his legal update in closed session, protected by attorney-client privilege. The Committee entered into privileged executive session at 3:58 p.m., with Trustees Lord, Huber and Rakowich invited to stay for the closed session.

Respectfully submitted,

Janine S. Andrews
Director
Office of the Board of Trustees