



**Joint Meeting**  
**Committee on Audit and Risk/Committee on Legal and Compliance**  
Meeting Agenda

Thursday, September 18, 2014  
1:30-2:30 p.m.

Executive Conference Rooms I and II, Penn Stater Conference Center Hotel  
University Park, PA

1. Informational Item – Acknowledgement of Code of Ethics (J. Doncsecz)
2. Discussion of Risk Topic – “Compliance with Federal Rules Affecting the University’s Ability to Receive Federal Funds – Grants, Student Aid, etc.” (R. Becker/S. Dunham)
3. Annual Report and Update on Phase II: The Plan for Continuous Improvement (D. Gray)
4. Hotline Administration (D. Heist/ R. Becker)
5. Executive Session (if needed)