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Board of Trustees
Committee on Legal and Compliance
Minutes of Meeting
VOLUME LC 6
September 18, 2014

A meeting of the Committee on Legal and Compliance was held in Room 218 of the Penn Stater Conference Center Hotel, University Park, Pennsylvania, at 2:35 p.m. on Thursday, September 18, 2014.

The following committee members, constituting a quorum, were present: Kenneth Frazier, Richard Dandrea, George Greig, Keith Masser, Paul Silvis, and Adam Taliaferro. Non-Committee trustees in attendance were Al Lord, Anthony Lubrano, and Walt Rakowich. The following staff members were also present: Eric Barron, Regis Becker, Julie Del Giorno, Steve Dunham, David Gray, Frank Guadagnino and Gary Langsdale.

The meeting was called to order by Chairman Frazier. The minutes of the July 10, 2014 meeting were approved.

Regis Becker, Director of University Ethics and Compliance, reported that a new *Code of Responsible Conduct* (University Policy AD88, which was distributed to the Committee) was approved by the University that focuses on legal and policy compliance, conflict of interest, accurate accounting, misconduct reporting, and non-retaliation. Regis also shared with the Committee a copy of another recently-approved policy, *Acceptance of Gifts and Entertainment* (University Policy AD86, which was distributed to the Committee). Regis provided an update on the Privacy and HIPAA compliance review and also the enhancement of the Youth Protection training under the new Pennsylvania requirements of the Child Protection Services Law. A status was provided on the export control compliance program development, and information on the release plan of the Values and Culture survey was shared.

Julie Del Giorno, Athletics Integrity Officer, reported that in conjunction with the NCAA compliance staff, she participated in NCAA, Code of Conduct, and ethics and integrity training for all returning and new student-athletes; and, she noted the positive collaborations that exist among various University units and personnel in these efforts. The quarterly meeting of the Athletics Integrity Council included information on the AD certification process/submission, disclosure log, and status and plan for completion of required training. Julie also shared information on the ongoing efforts to provide Ethics Awareness training for student-athletes, including partnering efforts with two campus colleagues in developing a new culture and values workshop for athletic teams and student organization.

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Steve Dunham noted that his items would be covered in closed session as they are protected by the attorney-client privilege. The committee then went into privileged executive session, with non-Committee trustees Lord, Lubrano and Rakowich invited to stay for the executive session.

Respectfully submitted,

Janine S. Andrews
Director, Board of Trustees