

**THE PENNSYLVANIA STATE UNIVERSITY
BOARD OF TRUSTEES**

**COMMITTEE ON LEGAL AND COMPLIANCE
MINUTES OF MEETING
VOLUME LC 42**

January 19, 2023

A meeting of the Committee on Legal and Compliance (the Committee) of the Board of Trustees was held via video conference, at 8:00 a.m. on Thursday, January 19, 2023.

The following members, constituting a quorum, were present: Chair Houston, Vice Chair de Levie, Cairns, Kleppinger, Potts, and Rapp. Also attending were Board Chair Schuyler (*Ex Officio*), Bieschke, Dulabon, Dunham, Guadagnino, Harvey, Holleran, Oman, Quinland, Raab, Schwartz, Smith and Thorndike. Emeriti Trustees Doran and Henning were also present.

Chair Houston called the meeting to order at 8:00 a.m. He recognized and thanked Trustee de Levie for agreeing to serve as vice chair of the Legal and Compliance Committee, and he recognized and thanked Board Vice Chair Kleppinger for his service during the past year as vice chair of the Committee.

Chair Houston called for a motion for the Committee to approve the *Minutes* of the September 12, 2022 committee meeting. A motion was put forward by Trustee Rapp, seconded by Trustee Potts, and was unanimously approved.

Consent Action Item

- A. Proposed Annual Applications, Renewals and Other Filings Required by the Pennsylvania Liquor Control Board

Chair Houston called on Frank Guadagnino, Interim Vice President and General Counsel to provide supporting remarks and context for this item. A motion was put forward by Trustee Cairns, seconded by Trustee Rapp, and the Committee unanimously approved the following resolution:

WHEREAS, The Pennsylvania Liquor Code authorizes the Pennsylvania Liquor Control Board to issue various licenses and permits related to the sale and service of alcoholic beverages, subject to a well-defined set of regulations; and

WHEREAS, It is the desire of The Pennsylvania State University to sell and serve alcoholic beverages under the conditions previously approved by the Board of Trustees; and

WHEREAS, It is the desire of The Pennsylvania State University to file appropriate applications for licensures and permits either through an application processes, biennial application renewal processes, or a validation process, as applicable; and

THEREFORE BE IT RESOLVED, That Sara F. Thorndike, Senior Vice President for Finance and Business/Treasurer or any appropriate designee(s), is/are authorized to execute applications, renewal forms, and any other documents or instruments required by the Pennsylvania Liquor Control Board, and to take such other actions as may be required in connection with any such applications, renewals or validations; and

RESOLVED FURTHER, That material changes to the terms and conditions previously approved by the Board of Trustees with respect to such licenses and permits shall be submitted to the Board of Trustees for its consideration and approval.

Chair Houston congratulated outgoing Vice President and General Counsel Stephen Dunham on his retirement and thanked him for his service to the Committee, the Board and the University. Vice Chair de Levie and Board Chair Schuyler also shared comments about Dunham's service to the University.

The meeting is available in its entirety [here](#).

The public meeting was adjourned at 8:09 a.m.

Respectfully submitted,

Susan A. Stevens
Trustee Services Coordinator
Office of the Board of Trustees