

**THE PENNSYLVANIA STATE UNIVERSITY
BOARD OF TRUSTEES**

**COMMITTEE ON LEGAL AND COMPLIANCE
MINUTES OF MEETING
VOLUME LC 43**

October 19, 2023

A meeting of the Committee on Legal and Compliance (the Committee) of the Board of Trustees was held via video conference, at 8:00 a.m. on Thursday, October 19, 2023.

The following members, constituting a quorum, were present: Chair de Levie, Vice Chair Kleppinger, Beard, Cairns, Onorato, Potts, Rapp, and Redding. Also attending were Board Chair Schuyler (*Ex Officio*), President Bendapudi (*Ex Officio*), the Governor's non-voting representative Myers, Emeriti Trustees Henning and Pope, and Constituent Representatives Egolf, Ingram, Kerr, Levendosky, Miller, and Seymour. Also attending were staff members Harlow, Harvey, Lewis, Melvin, Oman, Oziemblowsky, Pell, Raab, Smith, Thorndike, and Wilkes.

Chair de Levie called the meeting to order at 8:00 a.m. Oziemblowsky confirmed a quorum was present. Chair de Levie welcomed panelists and members of the public to the committee meeting. He noted for the public attending via Microsoft Livestream, the agenda and supporting materials would be available at www.trustees.psu.edu. He also mentioned the Committee's goals and agenda may be amended from time to time to reflect matters and issues for Board review and discussion.

Chair de Levie welcomed Trustee Beard and Vice President of the Graduate and Professional Student Association Jillian Kerr to the Committee.

Chair de Levie called for a motion for the Committee to approve the Minutes of the January 19, 2023 committee meeting, which was forwarded by Trustee Potts, seconded by Trustee Beard, and unanimously approved.

The Committee received a legal and compliance update from Tabitha Oman, Vice President & General Counsel, including an overview of the new Compliance and Ethics Centers of Excellence framework, to include compliance program design, strategic plan elements, and centers of excellence (issue response and investigations; regulatory management and risk mitigation; and values and ethics communications and training). Chair de Levie noted the Legal and Compliance goal and agenda plan for 2023-2024 could be found in Diligent as well as the Board of Trustees website for review.

The meeting is available in its entirety [here](#).

With no other business coming before the Committee, the meeting was adjourned at 8:17 a.m.

Respectfully submitted,

Thomas J. Oziemblowsky
Associated Director
Office of the Board of Trustees