

Legal and Compliance Committee Update

March 2024



PennState

OFFICE OF ETHICS AND COMPLIANCE

Compliance Program Design



Compliance Strategic Plan Elements

Goal 1: Understand the Risks and How They are Being Mitigated Today

Goal 2: Measure our Impact and the Continuous Improvement

Goal 3: Make Values, Ethics and Compliance Part of the Conversation

Goal 4: Optimize Issue Identification/Raising and Response Practices



Clery Compliance Risk Assessment Update

- Initial Remit: Clery compliance complexity, continued DOE review
- Updates:
 - Began engagement with Regulatory Management & Risk Mitigation Center of Excellence in February 2024
 - Two Clery Compliance Coordinators are undergoing Clery Compliance Officer Certification Program
 - Developing a “Super Campus Security Authority” training through the Learning Resource Network



Title IX Risk Assessment Update

- Initial Remit: Evolving Title IX regulations, continued DOE review
- Updates:
 - Participant/partner in Investigation and Issue Response Center of Excellence to develop consistent SOPs for investigations
 - Expansion of trained panelist pool for hearing process
 - Revamping of Coalition to Address Relationship and Sexual Violence (CARSV) for further bolstering of prevention and response



Hazing Reporting Requirements

Risk Assessment Update

- Initial Remit: Ensuring continued focus on accurate tracking and reporting on Hazing incidents
- Updates:
 - Anti-Hazing Committee has been convened, chaired by AVP of Student Affairs, with the following members:
 - Student Affairs (including Student Leadership and Involvement; Fraternity and Sorority Life; Health Promotion and Wellness; Campus Recreation); Athletics; Blue Band; ROTC; Ethics and Compliance (Title IX and Youth Program Compliance); Human Resources; General Counsel
 - Committee purpose includes:
 - Ensure compliance with anti-hazing laws and policies, including collection and reporting of information in the bi-annual anti-hazing report



Thank You



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